A. GENERAL INSTRUCTIONS

1. FILING – Form ICC1 is the Regulated Consumer Lender business Application.
2. TERMS USED – See the following Explanation of Terms section regarding italicized words/phrases.
3. EXECUTION – The execution section must be completed by an authorized representative of the applicant (corporate officer, partner, member, sole proprietor, etc).
4. AMENDMENTS – The applicant must update information as required by submitting amendments using Form ICC1. Only complete the information that is being amended as well as the name of the applicant and circle the item number being amended.
5. CONTACT EMPLOYEE – The individual listed as the contact employee must be authorized to receive all compliance and licensing information, communications, and mailings, and be responsible for disseminating it within the applicant’s organization.
6. SURRENDER / CLOSE – When an applicant decides to cease operations under the license, use the Form ICC1 to notify the Department of Finance by checking the “surrender” box and completing only items 1A, J, and K. Surrender the original license document.

B. FILING INSTRUCTIONS

1. FORMAT – A fully completed Form ICC1 is required to be submitted when the applicant is filing for the first time. Be sure to indicate which type(s) of licenses for which applicant is applying.
   A. The Execution section must include notarized original manual signature, for the initial Form ICC1 filing.
   B. Type or print legibly all information.
   C. Use only the current version of Form ICC1 and its Schedules.

2. ATTACHMENTS – Provide the following:
   A. $350 Application Fee for EACH license type, payable to the Idaho Department of Finance.
   B. Schedules A, B, and C – File Schedules A and B only with initial applications. Use Schedule C to update Schedules A and B as needed.
   C. File a Form ICC2 for each individual designated on Schedule A or C as a “control person”, and all branch managers.
   D. Enclose a Certificate of Good Standing from the Secretary of State or similar state authority for the state where the applicant obtained its legal status listed in Item 3C.
   E. If the applicant is a corporation, enclose a copy of the recorded Articles of Incorporation and any By Laws; If the applicant is an LLC, enclose a recorded copy of the Articles of Organization; If the applicant is a partnership of any form, enclose a copy of the partnership agreement.
   F. Submit a file-stamped copy of an Idaho Secretary of State issued Certificate of Filing ABN for EACH fictitious business name/trade name/doing business as name(s) that the applicant desires to use in Idaho.
   G. Submit a file-stamped copy of an Idaho Secretary of State issued Certificate of Existence.
   H. The name, full delivery address, and telephone number of the registered agent for service of legal process. Registered agent must be in Idaho.
   I. Branch offices need to complete a Form ICC3 Branch Application Form.
   J. If regulated consumer lending transactions are entered into other than at a licensed office or retail store, attach a description of the manner in which they are entered into (i.e. internet, door-to-door, consumer’s home, etc)
   K. Provide a History of Lending for the applicant entity, the applicant's current credit criteria and policy(s) and identify the credit services/products to be offered in Idaho or to Idaho consumers.
   L. Submit a detailed verification of experience for each branch manager containing detailed job descriptions/duties and past related experience. Job titles or lending volumes alone do not meet this requirement.
   M. Submit samples of all forms to be used in the lending process, to include but not be limited to, disclosures such as applicant's privacy policy, compliance with the Patriot Act, those required by Idaho law or those created for applicant’s use.
   N. Military Member, Veteran, and Spouse Priority – Sole Proprietor Applicants only: An individual that is a current military member, veteran, or spouse of a military member or veteran, is entitled to an expedited application review once required verification documentation has been provided and notification to the Department of Finance has been received.
To qualify, the military member or veteran must have served on active duty for at least 180 consecutive days and if discharged, the discharge must be an honorable discharge or general discharge under honorable conditions. Refer to the application checklist for required documentation to be provided. Additionally, if you hold a current, valid and unrestricted active collection agency license in another state or jurisdiction, with similar qualification requirements and without any disciplinary, criminal or enforcement actions, you may be eligible for a license while completing any additionally-required Idaho application requirements.

Military Member, Veteran, or Spouse Status Documentation:
Discharged/Retired Veteran Applicant: Provide a copy of the veteran’s DD Form 214 (member copy 4) or NGB – 22 that confirms duration of active duty service AND type and condition of discharge.
Active Duty Applicant: Provide a copy of your current and valid military ID card.
Spouse of Active Duty Member: Provide a copy of current and valid military dependent ID card and a copy of marriage certificate or other legal union documentation.
Spouse of Discharged/Retired Veteran: Provide a copy of the veteran’s DD Form 214 (member copy 4) or NGB -22 that confirms duration of active duty service AND type and condition of discharge and a copy of marriage certificate or other legal union documentation.

If you qualify for a military member, veteran or spouse priority make sure to mark the box at the bottom of this page and return it as the top document of your application package.

3. FINANCIAL RESPONSIBILITY – Submit current bank statements, in the name of the applicant entity, dated within 30 days of application. The bank statements must reflect a minimum of $30,000 in liquid assets available for the purpose of making loans. A current bank or depository statement(s), signed by an authorized signer of the depository institution, on depository institution letterhead, may also be submitted for liquidity purposes.

Payday Lender Applicants-- The bank statements must reflect a minimum of $30,000 in liquid assets for the main office, to be increased an additional $5,000 for each additional Idaho physical location, up to a maximum of $75,000. A current bank or depository statement(s), signed by an authorized signer of the depository institution, on depository institution letterhead, may also be submitted for liquidity purposes. The financial responsibility requirements are ongoing in nature.

C. EXPLANATION OF TERMS – The following terms are italicized throughout Form ICC1

1. GENERAL

APPLICANT – The financial service entity applying or amending information on this form. The only instance in which the applicant is an individual is in the case of a sole proprietorship.

CONTROL – The power, directly or indirectly, to direct the management or policies of a company, whether through ownership of securities, by contract, or otherwise. Any person that (i) is a director, general partner or officer exercising executive responsibility (or having similar status or functions); (ii) directly or indirectly has the right to vote 10% or more of a class of voting security or has the power to sell or direct the sale of 10% or more of a class of voting securities; or (iii) in the case of a partnership, has the right to receive upon dissolution, or has contributed, 10% or more of the capital, is presumed to control that company.

CONTROL PERSON – An individual named in Item 1A or in Schedules A, B or C that directly or indirectly exercises control over the applicant.

JURISDICTION – A state, the District of Columbia, the Commonwealth of Puerto Rico, or any subdivision or regulatory body thereof.

PERSON – An individual, partnership, corporation, trust, or other organization.

2. FOR THE PURPOSE OF ITEM 8

CHARGED – Being accused of a crime in a formal complaint, information, or indictment (or equivalent formal charge).

CONTROL AFFILIATE – A person named in Item 1A or in Schedules A, B or C as a control person or any other individual or organization that directly or indirectly controls, is under common control with, or is controlled by, the applicant, including any current employee except one performing only clerical, administrative, support or similar functions, or who, regardless of title, performs no executive duties or has no senior policy making authority.

ENJOINED – Includes being subject to a mandatory injunction, prohibiting injunction, preliminary injunction, or a temporary restraining order.

FELONY – For jurisdictions that do not differentiate between a felony and a misdemeanor, a felony is an offense punishable by a sentence of at least one year imprisonment and/or a fine of at least $1,000. The term also includes a general court martial.

FINANCIAL SERVICES OR FINANCIAL SERVICES RELATED – Pertaining to securities, commodities, banking, insurance, consumer lending, or real estate (including, but not limited to, acting as or being associated with a bank or savings association, credit union, mortgage lender, mortgage broker, real estate agent or broker, appraiser, closing agent, title company, or escrow agent).

FOREIGN FINANCIAL REGULATORY AUTHORITY – Includes (1) a financial services authority of a foreign country; (2) other
governmental body empowered by a foreign government to administer or enforce its laws relating to the regulation of financial services or financial services-related activities; and (3) a foreign membership organization, a function of which is to regulate the participation of its members in financial services activities listed above.

FOUND – Includes adverse final actions, including consent decrees in which the respondent has neither admitted nor denied the findings, but does not include agreements, deficiency letters, examination reports, memoranda of understanding, letters of caution, admonishments, and similar informal resolutions of matters.

INVOLVED – Doing an act or omission or aiding, abetting, counseling, commanding, inducing, conspiring with or failing reasonably to supervise another in doing an act or omission.

MISDEMEANOR – For jurisdictions that do not differentiate between a felony and a misdemeanor, a misdemeanor is an offense punishable by a sentence of less than one year imprisonment and/or a fine of less than $1,000. The term also includes a special court martial.

ORDER – A written directive issued pursuant to statutory authority and procedures, including orders of denial, settlement, cease and desist, suspension, or revocation; but does not include special stipulations, undertakings or agreements relating to payments, limitations on activity or other restrictions unless they are included in an order.

PROCEEDING – Includes a formal administrative or civil action initiated by a governmental agency, self-regulatory organization or a foreign financial regulatory authority; a felony criminal indictment or information (or equivalent formal charge); or a misdemeanor criminal information (or equivalent formal charge). The term does not include other civil litigation, investigations, or arrests or similar charges affected in the absence of a formal criminal indictment or information (or equivalent formal charge).

☐ This application is being submitted under the Military Member, Veteran and Spouse priority option. I have read the requirements and included the appropriate verification documentation.
IDaho CONsumer Lender APPLICATION FORM

"Regulated Lender" includes Assignee, Wholesale Mortgage Lenders, Finance Companies, Title Lenders (does NOT include Payday Lending)

"Payday Lender" only authorizes payday lending

1. REGULATED LENDER  Y  N
   Title Lender?
   MARK APPROPRIATE BOX(ES)
   $350 per license type

2. PAYDAY LENDER

        WARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to keep accurate books and records or otherwise to comply with the provisions of law pertaining to the conduct of business for which you are applying, may violate the laws of Idaho and may result in disciplinary, administrative, injunctive or criminal action.

        INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS.

NEW APPLICATION ☐ SURRENDER ☐ AMENDMENT ☐ To amend, circle item(s) being amended.

1. Exact legal entity full name, principal business address, mailing address, if different, and telephone numbers of applicant:
   A. Full name of applicant:
      (If sole proprietor, provide last, first and middle name)
   B. IRS Employer Identification Number
      (Social Security No is allowed for sole proprietorship)

   C. List any other name(s) by which the applicant conducts or will conduct business in Idaho (refer to directions).
      1. Name
      2. Name
      3. Name
      4. Name

   D. If this filing makes a name change on behalf of the applicant, enter the new name and specify whether the name change is of the applicant name (1A) or business name (1C):
      (Attach appropriate legal documentation and Idaho Secretary of State filing).

   E. Main address: (Do not use a P.O. Box)
      Number and Street    City    State/Country    Zip+4/Postal Code

   F. Mailing address, if different:
      PO Box or Number and Street    City    State/Country    Zip+4/Postal Code

   G. Telephone Numbers and Website address:
      Business phone         Fax line
      Area Code    Telephone Number    Area Code    Telephone Number
      website address #1    website address #2

   H. Other than the office in 1E, does the applicant conduct business with Idaho consumers through branch offices or other business locations?
      YES ☐ NO ☐
      (Branch offices or other business locations must be licensed. Use Form ICC3.)

   I. Contact Employee:
      Name and Title        Area Code    Telephone Number
      Number and Street    City    State/Country    Zip+4/Postal Code

      E-mail Address
      Fax Number

   J. Branch Manager for this location:
      Name
      Area Code    Telephone Number
      Email
      Fax

   K. Employee authorized to respond to consumer complaints:
      Name and Title        Area Code    Telephone Number
      Number and Street    City    State/Country    Zip+4/Postal Code

      E-mail Address
      Fax Number

   L. Physical address of location where the official books and records of the applicant will be kept.
      Organization Name (if different from applicant) or Records Custodian Name
      Area Code    Telephone Number
      Number and Street    City    State/Country    Zip+4/Postal Code
EXECUTION: The undersigned, being first duly sworn, deposes and says that he/she has executed this form on behalf of, and with the authority of, said applicant. The undersigned and applicant represent that the information and statements contained herein, including exhibits attached hereto, and other information filed herewith, all of which are made a part hereof, are current, true and complete. The undersigned and applicant further represent that to the extent any information previously submitted is not amended such information is currently accurate and complete.

Date (MM/DD/YYYY) Signature of authorized party Title

Subscribed & Sworn before me ________________________________ by ________________________________

Print Notary Public name Print authorized party name

on this _________ day of ________________ at ________________

Month Year State County

Notary Public Signature Notary Appointment Expires (MM/DD/YYYY)

This execution must always be completed in full with original, manual signature and notarization. Affix notary stamp or seal where applicable.
Applicant full legal name: ________________________________

2. Mark the jurisdictions that applicant is currently applying to or is already licensed in for consumer lending purposes.

<table>
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<th>Jurisdiction</th>
<th>Licensed</th>
<th>Applying</th>
<th>Licensed</th>
<th>Applying</th>
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<td>Puerto Rico</td>
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- [ ] Corporation
- [ ] Sole Proprietorship
- [ ] Other (specify) ________________________________
- [ ] Partnership
- [ ] Limited Liability Company

B. Applicant’s fiscal year end (MM/DD): ________________________________

C. If other than a sole proprietorship, indicate date and place applicant obtained its legal status (i.e., state or country where incorporated, where partnership agreement was filed, or where applicant entity was formed):

State/Country of formation: ________________________________ Date of formation (MM/DD/YYYY): ________________________________

D. If applicant is a publicly traded corporation, please insert stock symbol: ________________________________

4. A. Directly or indirectly, does applicant control, is applicant controlled by, or is applicant under common control with, any person that is engaged in the business of consumer lending? If no, go to 4B. (check only one for each relationship, attach additional copies as needed)

This Partnership, Corporation, or Organization ________________________________

- [ ] controls applicant
- [ ] is controlled by applicant
- [ ] is under common control with applicant

Number and Street ________________________________
City ________________________________
State/Country ________________________________
Zip+4/Postal Code ________________________________

Briefly describe the control relationship, including an organizational chart which shows the relationship. Use additional sheets for comments if necessary.

B. Directly or indirectly, is applicant controlled by any of the following? If no, go to 5.

- [ ] Bank Holding Company
- [ ] National Bank
- [ ] State Member Bank of the Federal Reserve System
- [ ] State Non-Member Bank
- [ ] Savings Association/Savings Bank
- [ ] Credit Union
- [ ] Foreign Bank
- [ ] Thrift Holding Company

Number and Street ________________________________
City ________________________________
State/Country ________________________________
Zip+4/Postal Code ________________________________

Briefly describe the control relationship, including an organizational chart which shows the relationship. Use additional sheets for comments if necessary.
Schedule A and, if applicable, Schedule B must be completed as part of all initial applications. Amendments to schedules A and B must be provided on Schedule C as changes occur.

**Applicant full legal name:** ____________________________

5. Check type(s) of lending related business engaged in (or to be engaged in, if not yet active) by applicant.
   - A. First mortgage loans
   - B. Second mortgage loans
   - C. Home equity loans, including lines of credit
   - D. Loan Servicing—direct collection of payments
   - E. Loan Servicing—ability to enforce default provisions, but no collection of payments
   - F. Credit Insurance
   - G. Unsecured Loans
   - H. Secured Loans—secured by other than real estate—includes auto loans
   - I. Other mortgage products and services (If "yes", briefly describe below)
   - J. Short Term Title Loans
   - K. Payday Loans

   **YES**

6. Will applicant engage in any non-consumer lending-related business?
   - If "yes" briefly describe. ____________________________

   **YES NO**

7. Will applicant occupy or share space with any person(s) engaged in financial services-related activity? If "yes," provide the name(s) of the other person(s). ____________________________

   **YES NO**

8. If the answer to any of the following is "YES", provide complete details of all events or proceedings in an attachment. Refer to the explanation of terms section of the instructions for explanations of italicized terms. **Remember to file updates of these disclosures as needed.**

   **Criminal Disclosure**
   - A. Has the applicant or a control affiliate ever been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any felony?
   - B. In the past ten years has the applicant or a control affiliate been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a misdemeanor involving: financial services or a financial services-related business or any fraud, false statements or omissions, theft or any wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses?

   **YES NO**

   **Regulatory Action Disclosure**
   - C. Has any State or federal regulatory agency or foreign financial regulatory authority ever:
     - (1) found the applicant or a control affiliate to have made a false statement or omission or been dishonest, unfair or unethical?
     - (2) found the applicant or a control affiliate to have been involved in a violation of a financial services-related regulation(s) or statute(s)?
     - (3) found the applicant or a control affiliate to have been a cause of a financial services-related business having its authorization to do business denied, suspended, revoked or restricted?
     - (4) entered an order against the applicant or a control affiliate in connection with a financial services-related activity?
     - (5) denied, suspended, or revoked the applicant's or a control affiliate’s registration or license or otherwise, by order, prevented it from associating with a financial services-related business or restricted its activities?
   - D. Has the applicant’s or a control affiliate’s authorization to act as an attorney, accountant, real estate agent or State or federal contractor ever been revoked or suspended?
   - E. Is the applicant or a control affiliate now the subject of any regulatory proceeding that could result in a "yes" answer to any part of 8C?

   **Civil Judicial Disclosure**
   - F. (1) Has any domestic or foreign court:
     - (a) in the past ten years enjoined the applicant or a control affiliate in connection with any financial services-related activity?
     - (b) ever found the applicant or a control affiliate was involved in a violation of any financial services-related statute(s) or regulation(s)?
     - (c) ever dismissed, pursuant to a settlement agreement, a financial services-related civil action brought against the applicant or control affiliate by a State or foreign financial regulatory authority?
     - (2) Is the applicant or a control affiliate named in any pending financial services-related civil action that could result in a "yes" answer to any part of 8F(1)?

   **Financial Disclosure**
   - G. In the past ten years has the applicant or a control affiliate been a consumer finance lender or a control affiliate of a consumer finance lender or a mortgage broker that has been the subject of a bankruptcy petition?
   - H. Has a bonding company ever denied, paid out on, or revoked a bond for the applicant?
   - I. Does the applicant have any unsatisfied judgments or liens against it?
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<thead>
<tr>
<th>Bank Information and Reference</th>
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<tbody>
<tr>
<td>Bank or Credit Union Name: ____________________________________________________</td>
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<tr>
<td>Main address: (Do not use a P.O. Box)</td>
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<td>Number and Street</td>
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<td>Mailing address, if different:</td>
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<td>PO Box or Number and Street</td>
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### Schedule A
**DIRECT OWNERS AND EXECUTIVE OFFICERS**
(Answer for Form ICC1 Item 4)

<table>
<thead>
<tr>
<th>FULL LEGAL NAME</th>
<th>Title or Status</th>
<th>% Ownership</th>
<th>Control Person</th>
<th>Publicly Traded</th>
<th>S.S. No., IRS Tax No. or Employer ID</th>
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1. Use Schedule A only in new applications to provide information on the **direct** owners and executive officers of the *applicant*. Use Schedule B in new applications to provide information on **indirect** owners. File all amendments on Schedule C. **Complete each column.**

2. List below the names of:
   - Each **control person** and executive officer, including Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer, Director, and individuals with similar status or functions;
   - In the case of an *applicant* that is a corporation, each shareholder that directly owns 10% or more of a class of a voting security of the *applicant*, unless the *applicant* is a publicly traded company;
   - Direct owners include any person that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 10% or more of a class of a voting security of the *applicant*. For purposes of this Schedule, a person beneficially owns any securities (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant or right to purchase the security.
   - In the case of an *applicant* that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 10% or more of the partnership’s capital;
   - In the case of a trust that directly owns 10% or more of a class of a voting security of the *applicant*, or that has the right to receive upon dissolution, or have contributed, 10% or more of the *applicant’s* capital, the trust and each trustee;
   - In the case of an *applicant* that is a Limited Liability Company (“LLC”), (i) those members that have the right to receive upon dissolution, or have contributed, 10% or more of the LLC’s capital, and (ii) if managed by elected managers, all elected managers; and
   - In all cases, branch supervisors.

3. Are there any indirect owners of the *applicant* required to be reported on Schedule B?  
   - Yes  
   - No

4. Complete the “Title or Status” column by entering board/management titles; status as a partner, trustee, sole proprietor, or shareholder; and for shareholders, the class of securities owned (if more than one is issued).

5. (a) In the “Control Person” column, enter “Yes” if the person has “control” as defined in the instructions to this form, and “No” if the person does not have control. Note that under this definition, most executive officers and all 10% owners, general partners, and trustees would be “control persons”. For each “Yes” response, submit Control Persons Information on form ICC2.
   (b) In the “Publicly Traded” column, if the owner is a publicly traded company, enter the stock symbol; otherwise enter “NA”.

---

Form ICC1 Idaho Rev 7/2019  
Page 9 of 18
Schedule B
INDIRECT OWNERS
(Answer for Form ICC1 Item 4)

<table>
<thead>
<tr>
<th>FULL LEGAL NAME</th>
<th>Entity in Which Interest is Owned</th>
<th>Status</th>
<th>% Ownership</th>
<th>Publicly Traded</th>
<th>S.S. No., IRS Tax No. or Employer ID</th>
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<td>Name:</td>
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Applicant full legal name: ________________________________
Date: ____________________________

1. Use Schedule B only in new applications to provide information on the indirect owners of the applicant. Use Schedule A in new applications to provide information on direct owners. File all amendments on Schedule C. Complete each column.

2. With respect to each owner listed on Schedule A, (except individual owners), list below:
   (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;
   For purposes of this Schedule, a person beneficially owns any securities (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant or right to purchase the security.
   (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership’s capital;
   (d) in the case of an owner that is a trust, the trust and each trustee; and
   (e) in the case of an owner that is a Limited Liability Company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC’s capital, and (ii) if managed by elected managers, all elected managers.

3. Continue up the chain of ownership listing all 25% or more owners at each level. Once a public reporting company is reached, no ownership information further up the chain of ownership need be given.

4. Complete the “Status” column by entering status as a partner, trustee, shareholder, etc. and if shareholder, class of securities owned (if more than one is issued).

5. In the “Publicly Traded” column, if the owner is a publicly traded company, enter the stock symbol; otherwise enter “NA”.

Form ICC1 Idaho Rev 7/2019
**Schedule C**
**AMENDMENTS TO SCHEDULES A & B**
(Amendments to answers for Form ICC1 Item 4)

**Applicant** full legal name: ____________________________

Effective Date: ____________________________

1. This Schedule is used to amend Schedules A and B of Form ICC1. Refer to those schedules for specific instructions for completing this Schedule C. Complete each column.

2. In the Type of Amendment (“Type of Amd.”) column, indicate “A” (addition), “D” (deletion), or “C” (change in information about the same person).

3. **List below all changes to Schedule A (DIRECT OWNERS AND EXECUTIVE OFFICERS):**

<table>
<thead>
<tr>
<th>FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)</th>
<th>Type of Amd.</th>
<th>Title or Status</th>
<th>% Ownership</th>
<th>Control Person</th>
<th>Publicly Traded</th>
<th>S.S. No., IRS Tax No. or Employer ID</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name:</td>
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</table>

*Attach additional pages if needed*

4. **List below all changes to Schedule B (INDIRECT OWNERS):**

<table>
<thead>
<tr>
<th>FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)</th>
<th>Type of Amd.</th>
<th>Entity in Which Interest is Owned</th>
<th>Status</th>
<th>% Ownership</th>
<th>Publicly Traded</th>
<th>S.S. No., IRS Tax No. or Employer ID</th>
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</table>
A. GENERAL INSTRUCTIONS

7. FILING – Form ICC2 must accompany Form ICC1, the Regulated Consumer Lender Application form. Each individual, identified as a control person for the applicant on Schedule A, must complete Form ICC2.

8. EMPLOYMENT REPRESENTATION – The employment representation section must be completed by an authorized representative of the applicant (corporate officer, partner, member, sole proprietor, etc).

9. TERMS USED – See the following Explanation of Terms section regarding italicized words/phrases.

10. AMENDMENTS – The applicant must update information about a control person as required in each applicable jurisdiction by submitting amendments using Form ICC2 in addition to Schedule C of Form ICC1. On Form ICC2, circle the item being amended. Complete only the information that is being amended as well as the name of applicant and the name of the control person. Idaho does not have additional fees for amendment filings.

B. FILING INSTRUCTIONS

A. Each individual identified as a control person on Schedules A or C must complete Form ICC2. A fully completed Form ICC2 for each control person is required to be submitted to each jurisdiction along with the applicant's initial Form ICC1. Form ICC2 accompanies Schedule C when reporting new control person(s).

B. Employment history, item 5: provide the full legal name of the company, beginning with your current employer.

C. The Acknowledgment & Consent section must include notarized original manual signature.

D. The Consumer Lender Employment Representation section must include original manual signature and notary.

E. Type or print legibly all information.

F. Use only the current version of Form ICC2.

C. EXPLANATION OF TERMS – The following terms are italicized throughout Form ICC2

1. GENERAL

APPLICANT – The finance entity applying on or amending information on this form. The only instance in which the applicant is an individual is in the case of a sole proprietorship.

CONTROL – The power, directly or indirectly, to direct the management or policies of a company, whether through ownership of securities, by contract, or otherwise. Any person that (i) is a director, general partner or officer exercising executive responsibility (or having similar status or functions); (ii) directly or indirectly has the right to vote 10% or more of a class of a voting security or has the power to sell or direct the sale of 10% or more of a class of voting securities; or (iii) in the case of a partnership, has the right to receive upon dissolution, or has contributed, 10% or more of the capital, is presumed to control that company.

CONTROL PERSON – An individual named on Form ICC1 in Item 1A or in Schedules A, B or C, that directly or indirectly exercises control over the applicant.

PERSON – An individual, partnership, corporation, trust, or other organization.

2. FOR THE PURPOSE OF ITEM 6

CHARGED – Being accused of a crime in a formal complaint, information, or indictment (or equivalent formal charge).

ENJOINED – Includes being subject to a mandatory injunction, prohibitory injunction, preliminary injunction, or a temporary restraining order.

FELONY – For jurisdictions that do not differentiate between a felony and a misdemeanor, a felony is an offense punishable by a sentence of at least one year imprisonment and/or a fine of at least $1,000. The term also includes a general court martial.
FINANCIAL SERVICES OR FINANCIAL SERVICES-RELATED – Pertaining to securities, commodities, banking, insurance, consumer lending, or real estate (including, but not limited to, acting as or being associated with a bank or savings association, credit union, mortgage lender, mortgage broker, real estate sales agent or broker, appraiser, closing agent, title company, or escrow agent.

FOREIGN FINANCIAL REGULATORY AUTHORITY – Includes (1) a financial services authority of a foreign country; (2) other governmental body empowered by a foreign government to administer or enforce its laws relating to the regulation of financial services or financial services-related activities; and (3) a foreign membership organization, a function of which is to regulate the participation of its members in financial services activities listed above.

FOUND – Includes adverse final actions, including consent decrees in which the respondent has neither admitted nor denied the findings, but does not include agreements, deficiency letters, examination reports, memoranda of understanding, letters of caution, admonishments, and similar informal resolutions of matters.

INVOLVED – Doing an act or omission or aiding, abetting, counseling, commanding, inducing, conspiring with or failing reasonably to supervise another in doing an act or omission.

MISDEMEANOR – For jurisdictions that do not differentiate between a felony and a misdemeanor, a misdemeanor is an offense punishable by a sentence of less than one year imprisonment and/or a fine of less than $1,000. The term also includes a special court martial.

ORDER – A written directive issued pursuant to statutory authority and procedures, including orders of denial, settlement, cease and desist, suspension, or revocation; but does not include special stipulations, undertakings or agreements relating to payments, limitations on activity or other restrictions unless they are included in an order.

PROCEEDING – Includes a formal administrative or civil action initiated by a governmental agency, self-regulatory organization or a foreign financial regulatory authority; a felony criminal indictment or information (or equivalent formal charge); or a misdemeanor criminal information (or equivalent formal charge). The term does not include other civil litigation, investigations, or arrests or similar charges affected in the absence of a formal criminal indictment or information (or equivalent formal charge).
WARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or otherwise to comply with the provisions of law pertaining to the conduct of business for which you are applying, may violate the laws of Idaho and may result in disciplinary, administrative, injunctive or criminal action.

INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS.

APPLICATION ☐ AMENDMENT ☐ (To amend, circle items being amended.)

1. Individual's identifying information:
   A. Full last, first and middle names:
      Last name ___________________________ First name ___________________________ Full middle name ___________________________ Suffix ___________________________
   B. Social Security Number:_________________________
      (1) Gender: □ Male □ Female
      (2) State/Province of Birth: ___________________________
      (3) Country of Birth: ___________________________
   C. Date of Birth (MM/DD/YYYY) ___________________________
   D. List all other name(s) you have used or are using, or by which you are known or have been known, other than your legal name, since the age of 18. This field should include for example, nicknames, aliases, and names used before/after marriage. (Use additional sheets as necessary).
      1. Name ___________________________ 2. Name ___________________________ 3. Name ___________________________ 4. Name ___________________________
   E. (For amendments only) If this filing reports that an individual's name has changed, enter the new name and attach supporting legal documentation
      Last name ___________________________ First name ___________________________ Full middle name ___________________________ Suffix ___________________________
   F. Office of Employment address: (Do not use a P.O. Box) □ If this address is your private residence, check this box.
      Number and Street ___________________________ City ___________________________ State/Country ___________________________ Zip+4/Postal Code ___________________________
   G. Current Residence address, if different:
      Number and Street ___________________________ City ___________________________ State/Country ___________________________ Zip+4/Postal Code ___________________________
   H. Telephone Numbers and e-mail address:
      Business phone ___________________________ Fax line ___________________________
      Area Code ___________________________ Telephone Number ___________________________ Area Code ___________________________ Telephone Number ___________________________
      Cell phone ___________________________ e-mail address ___________________________

CONTROL PERSON'S ACKNOWLEDGMENT & CONSENT:
I swear or affirm that I have read and understand the items and instructions on this form and that my answers (including attachments) are true and complete to the best of my knowledge. I understand that I am subject to administrative, civil or criminal penalties if I give false or misleading answers. I authorize all my current and former employers, law enforcement agencies, and any other person to furnish to any jurisdiction, or any agent acting on its behalf, any information they have, including without limitation my creditworthiness, character, ability, business activities, educational background, general reputation, history of my employment and, in the case of former employers, complete reasons for my termination.

Date (MM/DD/YYYY) ___________________________ Signature of Control Person ___________________________
Signed or attested before me ___________________________ by ___________________________
Print Notary Public name ___________________________ Print Control Person name ___________________________
Notary seal here ___________________________ on this ______ day of __________ at ________
Month Year State County ___________________________
Notary Public Signature ___________________________ Notary Appointment Expires (MM/DD/YYYY) ___________________________

CONSUMER LENDER EMPLOYMENT REPRESENTATION
To the best of my knowledge and belief, and at the time of approval, the control person will be familiar with the statutes, regulations, and rules of the jurisdiction(s) with which this application is being filed, and will be fully qualified for the position for which application is being made herein. I have taken appropriate steps to verify the accuracy and completeness of the information contained in and with this application. I have provided the control person an opportunity to review the information contained herein and the control person has approved this information and signed the form.

Date (MM/DD/YYYY) ___________________________ Name of Consumer Lender (company) ___________________________
By: ___________________________ ___________________________
<table>
<thead>
<tr>
<th>Signature of authorized party</th>
<th>Print Name</th>
<th>Title</th>
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**Acknowledgment & Consent and Employment Representation sections must always be completed in full with original, manual signatures and notarization. Affix notary stamp or seal where applicable.**
Applicant full legal name: ____________________________  Individual’s full legal name: ____________________________

2. Fingerprint Information filing representation:
   ☐ I represent that I am submitting, have submitted, or promptly will submit to the appropriate jurisdiction(s) two fingerprint cards as required.
   Fingerprint Card Barcode(s):
   XX I am applying as a control person only in jurisdiction(s) that do not require me to submit fingerprint card(s). Not required in IDAHO at this time.

3. Residential History: Starting with current address (item 1G), give all addresses for the past 10 years. (Attach additional sheets as necessary.)

<table>
<thead>
<tr>
<th>From (MM/YYYY)</th>
<th>To (MM/YYYY)</th>
<th>Street Address</th>
<th>City</th>
<th>State or Province</th>
<th>Zip or Postal Code</th>
<th>Country</th>
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4. Employment History: Provide complete employment history for the past 10 years. Account for all time including full & part-time employments, self-employment, military service, and homemaking. Also include periods such as unemployed, full-time student, extended travel, etc. Indicate by “YES” or “NO” whether this employment was financial service-related business. (Attach additional sheets as needed.)

<table>
<thead>
<tr>
<th>From (MM/YYYY)</th>
<th>To (MM/YYYY)</th>
<th>Employer (company name)</th>
<th>Position Held</th>
<th>City</th>
<th>State or Province</th>
<th>Country</th>
<th>YES or NO?</th>
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5. Other Business: Are you currently engaged in any other business either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise? (Please exclude non-financial services-related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.) If YES, provide the following details: the name of the other business; whether the business is financial services-related; the address of the other business; the nature of the other business; your position, title, or relationship with the other business; the start date of your relationship; the approximate number of hours/month you devote to the other business; and briefly describe your duties relating to the other business. (Attach additional sheets as needed.)

Details: ____________________________

6. Disclosures: If the answer to any of the following is “YES”, provide complete details of all events or proceedings in an attachment. Refer to the explanation of terms section of the instructions for explanations of italicized terms.

Financial Disclosure

<table>
<thead>
<tr>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
</table>
### A. Within the past ten years:

1. Have you filed a personal bankruptcy petition or been the subject of an involuntary bankruptcy petition? □ □

2. Based upon events that occurred while you exercised control over any organization, have any filed a bankruptcy petition or been the subject of an involuntary bankruptcy petition? □ □

### B. Has a bonding company ever denied, paid out on, or revoked a bond for you? □ □

### C. Do you have any unsatisfied judgments or liens against you? □ □
<table>
<thead>
<tr>
<th><strong>Applicant full legal name:</strong></th>
<th><strong>Individual’s full legal name:</strong></th>
<th><strong>YES</strong></th>
<th><strong>NO</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Criminal Disclosure</strong></td>
<td></td>
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</tr>
<tr>
<td>D. Have you ever been convicted of or pled guilty or nolo contendere (&quot;no contest&quot;) in a domestic, foreign, or military court to any felony?</td>
<td>☐</td>
<td>☐</td>
<td></td>
</tr>
<tr>
<td>E. Based upon activities that occurred while you exercised control over it, has an organization ever been convicted of or pled guilty or nolo contendere (&quot;no contest&quot;) in a domestic, foreign, or military court to any felony?</td>
<td>☐</td>
<td>☐</td>
<td></td>
</tr>
<tr>
<td>F. Have you ever been convicted of or pled guilty or nolo contendere (&quot;no contest&quot;) in a domestic, foreign, or military court to a misdemeanor involving: financial services or a financial services-related business or any fraud, false statements or omissions, theft or any wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses?</td>
<td>☐</td>
<td>☐</td>
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<tr>
<td>G. Based upon activities that occurred while you exercised control over it, has an organization ever been convicted of or pled guilty or nolo contendere (&quot;no contest&quot;) in a domestic, foreign, or military court to a misdemeanor specified in 6F?</td>
<td>☐</td>
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<tr>
<td><strong>Regulatory Action Disclosure</strong></td>
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<tr>
<td>H. Has any State or federal regulatory agency or foreign financial regulatory authority ever:</td>
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<tr>
<td>(1) found you to have made a false statement or omission or been dishonest, unfair or unethical?</td>
<td>☐</td>
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<tr>
<td>(2) found you to have been involved in a violation of a financial services-related regulation(s) or statute(s)?</td>
<td>☐</td>
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<td>(3) found you to have been a cause of a financial services-related business having its authorization to do business denied, suspended, revoked or restricted?</td>
<td>☐</td>
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<td>(4) entered an order against you in connection with a financial services-related activity?</td>
<td>☐</td>
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<td>(5) denied, suspended, or revoked your registration or license, disciplined you, or otherwise by order, prevented you from associating with a financial services-related business or restricted your activities?</td>
<td>☐</td>
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<tr>
<td>(6) barred you from association with an entity regulated by such commission, authority, agency, or officer, or from engaging in a financial services-related business?</td>
<td>☐</td>
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<td>(7) issued a final order based on violations of any law or regulations that prohibit fraudulent, manipulative, or deceptive conduct?</td>
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<tr>
<td>I. Have you ever had an authorization to act as an attorney, accountant, real estate agent, or state or federal contractor that was revoked or suspended?</td>
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<td>J. Are you now the subject of any regulatory proceeding that could result in a “yes” answer to any part of 6H or 6I?</td>
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<tr>
<td><strong>Civil Judicial Disclosure</strong></td>
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<tr>
<td>K. (1) Has any domestic or foreign court ever:</td>
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<td>(a) enjoined you in connection with any financial services-related activity?</td>
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<td>(b) found that you were involved in a violation of any financial services-related statute(s) or regulation(s)?</td>
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<td>(c) dismissed, pursuant to a settlement agreement, a financial services-related civil action brought against you by a state, federal, or foreign financial regulatory authority?</td>
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<td>(2) Are you named in any pending financial services-related civil action that could result in a “yes” answer to any part of 6K(1)?</td>
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<tr>
<td><strong>Customer Arbitration/Civil Litigation Disclosure</strong></td>
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<td>L. Have you ever been named as a respondent/defendant in a financial services-related consumer-initiated arbitration or civil litigation which:</td>
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<td>(1) is still pending; or</td>
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<td>(2) resulted in an arbitration award or civil judgment against you, regardless of amount, or that required corrective action; or</td>
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<td>(3) was settled for any amount?</td>
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<td><strong>Termination Disclosure</strong></td>
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<td>M. Have you ever voluntarily resigned, been discharged, or permitted to resign after allegations were made that accused you of:</td>
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<td>(1) violating statute(s), regulation(s), rule(s), or industry standards of conduct?</td>
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<td>(2) fraud, dishonesty, theft, or the wrongful taking of property?</td>
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</tbody>
</table>