LAWRENCE G. WASDEN Attorney General Filed: 05/22/2018 14:54:15 Fourth Judicial District, Ada County Christopher Rich, Clerk of the Court By: Deputy Clerk - Johnson, Inga

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IN THE DISTRICT COURT OF THE FOURTH JUDICIAL DISTRICT

OF THE STATE OF IDAHO, IN AND FOR ADA COUNTY

STATE OF IDAHO, DEPARTMENT OF FINANCE, SECURITIES BUREAU,

Plaintiff,

vs.

RODNEY DEAN ALLEN, individually and dba KA INVESTMENTS; KA Investments, Inc., Case No. CV01-18-02013

DEFAULT JUDGMENT AND PERMANENT INJUNCTION

Defendants.

JUDGMENT IS ENTERED AS FOLLOWS:

1. Defendant Rodney Dean Allen (Allen) will pay to Plaintiff the amount of three million nine hundred seventy-one thousand eight hundred six dollars (\$3,971,806), which Plaintiff is to use to make restitution to investors, pursuant to Idaho Code § 30-14-603(b)(2)(C). Plaintiff may pay costs associated with collecting and distributing the restitution out of these funds. These funds will not become the property or an asset of the Plaintiff.

2. That Defendant Allen pay Plaintiff the amount of forty thousand dollars (\$40,000),

which amount constitutes civil penalties, pursuant to Idaho Code § 30-14-603(b)(2)(C).

3. That Plaintiff is hereby awarded a money judgment against Defendant Allen in the amount of four million eleven thousand eight hundred six dollars (\$4,011,806), which sum includes and is the total of the awards for restitution and penalties.

4. That Defendant Allen is permanently enjoined from engaging in any act or practice violating any provisions of Idaho's Uniform Securities Act (2004), Idaho Code § 30-14-101 *et seq.*, or any rule promulgated thereunder, pursuant to Idaho Code § 30-14-603(b)(1), and in particular, that he is permanently enjoined from selling or offering for sale securities in any form in the state of Idaho.

5. That Allen be enjoined for the period of ten years from working in any financial services industry or business in the State of Idaho

DATED Signed: 5/22/2018 02:21 PM

Peter Barton District Judge

CERTIFICATE OF SERVICE

I hereby certify that on the _____ day of _____, 2018, I caused to be served an accurate copy of DEFAULT JUDGMENT AND PERMANENT INJUNCTION upon all parties of record in the proceeding to the following, in the manner indicated below:

Alan Conilogue Deputy Attorney General PO Box 83720 Boise, ID 83720-0031

[] U.S. Mail, postage prepaid

[] Certified mail

[] Facsimile: (208) 332-8016

[🖌] email: alan.conilogue@finance.idaho.gov

Rodney Dean Allen 5914 Cruzen Boise, ID 83704 [✓] U.S. mail, postage prepaid

[] certified mail

- [] fax:
- [] email:

CORPORATION SERVICE COMPANY Registered Agent for: K.A. Investments, Inc. 12550 W Explorer Drive, Suite 100 Boise, ID 83713 [] U.S. mail, postage prepaid

- [] certified mail
- [] fax:
- [] email:

CHRISTOPHER D. RICH Clerk

