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STATE OF IDAHO  
DEPT OF FINANCE

DISTRICT COURT  
TWIN FALLS CO., IDAHO  
FILED

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**IN THE DISTRICT COURT OF THE FIFTH JUDICIAL DISTRICT  
OF THE STATE OF IDAHO, IN AND FOR TWIN FALLS COUNTY**

STATE OF IDAHO, DEPARTMENT OF )  
FINANCE, SECURITIES BUREAU, )  
 )  
Plaintiff, )  
 )  
vs. )  
 )  
MONTY ARROSSA, )  
 )  
Defendant. )  
\_\_\_\_\_ )

CV-06-2036

**STIPULATION FOR  
ENTRY OF JUDGMENT**

The Department of Finance, State of Idaho, Securities Bureau (Department) and Defendant Monty Arrossa (Arrossa) have agreed to resolve all matters relating to the above captioned action, pursuant to the following Stipulation. The Department and Arrossa hereby stipulate and agree to the following terms and conditions:

1) Arrossa admits that he violated Idaho Code § 30-1406 of the Idaho Securities Act and § 30-14-401 of the Uniform Securities Act (2004) as alleged in Count Two of the Verified Complaint filed in this action, in that he sold to Idaho residents K.S. and G.S. a security in the form of an interest in a race horse while he was not registered as a securities broker dealer or broker dealer representative.

2) Arrossa neither admits nor denies the allegations which form the basis of Counts One, Three, Four, and Five of the Verified Complaint filed herein, and the Department agrees that it will not pursue those counts in any administrative or civil action against Arrossa.

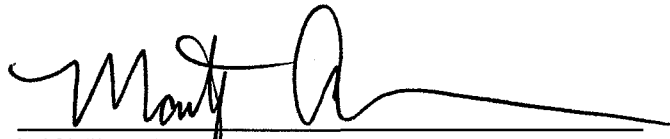
3) Arrossa reaffirms and agrees to timely make all payments due on the promissory note to K.S. and G.S. as referenced in ¶ 13 of the Verified Complaint filed in this action, whereby Arrossa promised to pay K.S. and G.S. the amount of \$63,350.35 at the rate of \$1,000 per month until such amount is paid in full. The amount currently due on such note is \$52,649.16 as of July 6, 2006.

4) Arrossa further reaffirms and agrees to timely make all payments due on the promissory note to R.W. as referenced in ¶ 19 of the Verified Complaint filed in this action, whereby Arrossa promised to pay G.W. the amount of \$36,000 at the rate of \$1,016.26 per month until such amount is paid in full. The amount currently due on such note as of July 18, 2006 is \$19,504.05.

5) Pursuant to Idaho Code § 30-1442(3) and in view of the foregoing, Arrossa consents to the Court entering against him the injunction sought by the Plaintiff in ¶ (2) of the "Prayer for Relief" section of the Verified Complaint filed herein.

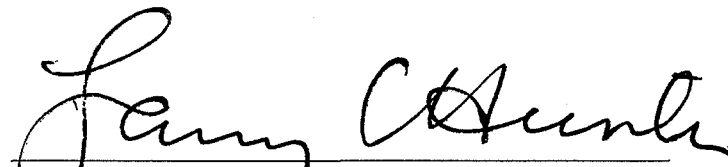
6) The Department agrees to forgo any claim for costs, attorney fees, and reimbursement for investigative efforts in this action pursuant to Idaho Code § 30-1442(3)(c), and also agrees to forgo any additional penalties against Arrossa related to this action. Arrossa agrees to bear his own attorney fees and costs in this action.

DATED this 15<sup>TH</sup> day of August, 2006.

  
\_\_\_\_\_  
MONTY ARROSSA  
Defendant

APPROVED AS TO FORM AND CONTENT:

DATED this 21<sup>st</sup> day of August, 2006.

  
\_\_\_\_\_  
LARRY C. HUNTER  
Attorney for Monty Arrossa

DATED this 28<sup>th</sup> day of August, 2006.

STATE OF IDAHO  
OFFICE OF THE ATTORNEY GENERAL



A. RENÉ MARTIN  
Deputy Attorney General

**CERTIFICATE OF SERVICE**

I HEREBY CERTIFY that on this 28 day of Aug., 2006, I served a true and correct copy of the foregoing fully-executed STIPULATION FOR ENTRY OF JUDGMENT AS TO DEFENDANT MONTY ARROSSA upon the following by the designated means:

Larry C. Hunter  
Moffatt Thomas  
P.O. Box 829  
Boise, Idaho 83701-0829

U.S. Mail  
 Certified Mail  
 Facsimile  
 Hand Delivered

