ALAN G. LANCE ATTORNEY GENERAL STATE OF IDAHO

Scott B. Muir Deputy Attorney General State of Idaho Department of Finance P.O. Box 83720 Boise, ID 83720-0031

Telephone: (208) 332-8091 Facsimile: (208) 332-8099 Idaho State Bar Number 4229

IN THE DISTRICT COURT OF THE SIXTH JUDICIAL DISTRICT OF THE STATE OF IDAHO, IN AND FOR THE COUNTY OF CARIBOU

STATE OF IDAHO, Department)
of Finance,)
) Civil No. CV 99-3230
Plaintiff,)
,) STIPULATION FOR JUDGMENT
vs.) AND PERMANENT INJUNCTION
)
WORLDWIDE FINANCIAL, LLC, and)
STEVEN JAMES COOK,)
)
Defendants.)

COMES NOW the Plaintiff, by and through counsel, and Defendant Steven James Cook, by and through counsel, in order to avoid prolonged litigation, to stipulate and agree as follows:

- 1. The Complaint on file herein states a cause of action for injunctive relief against the Defendant Steven James Cook.
- 2. Defendant Steven James Cook neither admits nor denies the violations alleged in the Complaint.

- 3. Defendant Steven James Cook agrees that the Judgment and Permanent Injunction attached hereto as "Exhibit A" may be entered against him, and he hereby consents to the entry thereof.
- 4. Said Judgment and Permanent Injunction shall constitute a final resolution of all issues presented in Plaintiff's Complaint as to Defendant Steven James Cook, and may be presented to the Court immediately or at any time convenient to the Court, without the necessity of findings of fact or conclusions of law or further pleadings or proceedings in this matter.
- 5. All stipulating parties shall bear their own attorney's fees and costs of litigation.

<u>March 22, 2001</u>
Date

Deputy Attorney General

Counsel for Plaintiff

State of Idaho, Department of Finance

__1/6/0/_____ Date

Steven James Cook

Date

Ray Malouf

 α

Utoh Counsel for Defendant Steven James Cook

ALAN G. LANCE ATTORNEY GENERAL STATE OF IDAHO

Scott B. Muir
Deputy Attorney General
State of Idaho
Department of Finance
P.O. Box 83720
Boise, ID 83720-0031

Telephone: (208) 332-8091 Facsimile: (208) 332-8099 Idaho State Bar Number 4229

IN THE DISTRICT COURT OF THE SIXTH JUDICIAL DISTRICT OF THE STATE OF IDAHO, IN AND FOR THE COUNTY OF CARIBOU

STATE OF IDAHO, Department)
of Finance,)
) Civil No. CV 99-3230
Plaintiff,)
) JUDGMENT AND
vs.) PERMANENT INJUNCTION
)
WORLDWIDE FINANCIAL, LLC, and)
STEVEN JAMES COOK,)
)
Defendants.)
)

It appearing to the Court that Defendant Steven James Cook in the Stipulation for Judgment and Permanent Injunction filed with the Court, has admitted that the Complaint states a cause of action for injunctive relief under the Idaho Securities Act. Defendant Steven James Cook neither admits nor denies that he violated the Idaho Securities Act as set forth in the Complaint, has agreed and consented to the entry of judgment granting a permanent injunction against him, and has waived the necessity of findings of fact and



conclusions of law with respect thereto, and the Court being fully advised in the premises:

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that:

- Defendant Steven James Cook is permanently enjoined from engaging in any acts, practices or omissions which would constitute violations of the Idaho Securities Act, and in particular, is permanently enjoined from:
- A. Selling or offering for sale nonexempt securities in any form in the State of Idaho until such time as such securities have been registered with the Idaho Department of Finance, in accordance with Title 30, Chapter 14, Idaho Code;
- B. Transacting securities business in the State of Idaho until such time as Defendant Steven James Cook has become registered as a broker-dealer, or salesman for a broker-dealer or issuer, with the Idaho Department of Finance, in accordance with Title 30, Chapter 14, Idaho Code;
- C. While engaged in or in connection with the offer, sale, or purchase of any security:
- (1) Employing any device, scheme or artifice to defraud,
- (2) Making any untrue statement of material fact, or omitting to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they are made, not misleading, and
- (3) Engaging in any act, practice or course of business which operates or would operate as a fraud or deceit upon any person.
- D. Aiding, abetting, counseling, inducing or causing any other person to engage in any of the types of conduct described in paragraphs A, B, and C above.

- 2. Defendant Steven James Cook is prohibited from claiming the availability of, using, or offering to sell securities under, any exemptions under the Idaho Securities Act without receiving the prior written consent of the Director.
- Defendant Steven James Cook shall restore to each person in interest any consideration which may have been acquired or transferred in violation of the Idaho Securities Act.
- 4. This Judgment and Permanent Injunction shall serve as a final resolution of all issues presented in Plaintiff's Complaint as to Defendant Steven James Cook.

DATED this 16 day of December, 200

DON L. HARDING

District Judge